



CIN: L67120PB1993PLC013169

## Regency Fincorp Limited

(Formerly Known as: -Regency Investments Limited)

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3<sup>rd</sup> September, 2025

**The Listing Department  
BSE Limited  
25th Floor, P J Towers Dalal Street  
Mumbai, Maharashtra- 400001**

**Subject: Announcement under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

**Ref: REGENCY FINCORP LIMITED (SCRIP CODE: 540175)**

Dear Sir/Madam,

Pursuant to Regulation 30 read with Para A of Part A of Schedule III of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations") we hereby wish to inform you that the shareholders at their meeting held on Wednesday, 3<sup>rd</sup> September, 2025 at 12:30 P.M. has approved the following agendas:

1. Adoption of Financial Statements and Reports of the Board of Directors and Auditors thereon;
2. Appointment of Mr. Sarfaraz Mallick (DIN: 10255433), Executive Director as director liable to retire by rotation;
3. Re-appointment and fixing of remuneration payable to Mr. Gaurav Kumar (DIN: 06717452) as Managing Director of the Company;

Disclosure under Regulation 30 of SEBI Listing Regulations read with Clause 7 of Para A of Part A of Schedule III of SEBI Listing Regulations and with SEBI circular SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024 is enclosed as **Annexure-I.**

4. Ratification of the term of appointment of Mr. Sarfaraz Mallick (DIN: 10255433) as Executive Director of the Company;

Disclosure under Regulation 30 of SEBI Listing Regulations read with Clause 7 of Para A of Part A of Schedule III of SEBI Listing Regulations and with SEBI circular SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024 is enclosed as **Annexure-II.**

5. Increase in remuneration payable to Mr. Sarfaraz Mallick (DIN: 10255433), Executive Director of the Company;
6. Increase in remuneration payable to Mr. Vishal Rai Sarin (DIN: 08758350), Whole Time Director of the Company;
7. Increase in the borrowing limits of the company;
8. Appointment of Shailendra Roy and Associates as Secretarial Auditor of the Company.

Disclosure under Regulation 30 of SEBI Listing Regulations read with Clause 7 of Para A of Part A of Schedule III of SEBI Listing Regulations and with SEBI circular SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024 is enclosed as **Annexure-III**.

Request you to kindly take the same on your records.

**For Regency Fincorp Limited**

**Gaurav Kumar**  
**Managing Director**  
**DIN: 06717452**

**Place: Chandigarh**

**Annexure-I**

**Details with respect to re-appointment of Mr. Gaurav Kumar (DIN: 06717452) as Managing Director of the Company as required under Regulation 30 of SEBI Listing Regulations read with SEBI Circular SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024**

S. NO.	PARTICULARS	DETAILS
1	Name and DIN of the Director	Mr. Gaurav Kumar (DIN: 06717452)
2	Reason for change viz. <del>appointment</del> , re-appointment, resignation, removal, death or otherwise;	Re-appointment
3	Date of <del>appointment</del> /re-appointment/ <del>cessation</del> (as applicable) & term of <del>appointment</del> /re-appointment;	<b>Date of Re-appointment:</b> w.e.f 25 <sup>th</sup> August, 2025 <b>Terms of Appointment:</b> The Board of Directors (after receiving the recommendation from the nomination and remuneration committee) has deliberated to re-appoint Mr. Gaurav Kumar as the Managing Director for a period of three years w.e.f. 25 <sup>th</sup> August, 2025, at a monthly remuneration upto Rs.3,50,000/- per month, and whose terms shall be the same as approved by the members and whose office shall be liable to retire by rotation.
4	Brief profile (in case of appointment);	He possesses a total experience of about 10 years. Your Board is of the opinion that considering the experience of Mr. Gaurav Kumar (DIN: 06717452), his re-appointment as Managing Director will be beneficial to the Company.  He has completed his BBA (Bachelors in Business Administration) and is engaged in the business of Stock Broking, Mutual Funds, Investments and Assistance, Insurance Sector etc.
5	Disclosure of relationships between directors (in case of appointment of a director).	No Relation
6	Confirmation as required under BSE circular Number LIST/COM/14/2018- 19	He is not debarred from holding the office of director pursuant to any SEBI order or any other authority.

**Annexure-II**

**Details with respect to ratification the term of appointment of Mr. Sarfaraz Mallick (DIN: 102255433) as Executive Director of the Company as required under Regulation 30 of SEBI Listing Regulations read with SEBI Circular SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024**

S. NO.	PARTICULARS	DETAILS
1	Name and DIN of the Director	Mr. Sarfaraz Mallick  (DIN NO.: 10255433)
2	Reason for change viz. <del>appointment, re-appointment, resignation, removal, death</del> or otherwise;	Change in terms of appointment
3	Date of appointment/ <del>re-appointment/cessation</del> (as applicable) & term of appointment/ <del>re-appointment</del> ;	<b>Date of Appointment:</b> 29 <sup>th</sup> March, 2025  <b>Terms of Appointment:</b> In the Annual General Meeting held on 3 <sup>rd</sup> September, 2025, Mr. Sarfaraz Mallick's term of appointment has been approved for a term of three years with effect from 29 <sup>th</sup> March 2025 till 28 <sup>th</sup> March, 2028, other terms of appointment will remain constant as approved by the members in the Extra Ordinary General Meeting held on 24 <sup>th</sup> April, 2025.
4	Brief profile (in case of appointment);	Mr. Sarfaraz Mallick is having 20 years of rich experience in the field of Banking and Finance making them a valuable asset in managing the Operational affairs of companies. He has served as the Vice President in Kotak Mahindra Bank for more than 10 years.
5	Disclosure of relationships between directors (in case of appointment of a director).	No Relation
6	Confirmation as required under BSE circular Number LIST/COM/14/2018- 19	He is not debarred from holding the office of director pursuant to any SEBI order or any other authority.

### Annexure-III

**Details with respect to appointment of M/s Shailendra Roy and Associates as Secretarial Auditor of the Company as required under Regulation 30 of SEBI Listing Regulations read with SEBI Circular SEBI/HO/CFD/PoD2/CIR/P/0155 dated November 11, 2024**

S. NO.	PARTICULARS	DETAILS
1	Name	M/S Shailendra Roy and Associates Peer Review No. 3605/2023
2	Reason for change viz. appointment, <del>re-appointment, resignation, removal, death or otherwise;</del>	Appointment as Secretarial Auditor of the Company
3	Date of appointment/ <del>re-appointment/cessation (as applicable)</del> & term of appointment/ <del>re-appointment;</del>	<b>Date of Appointment:</b> 11 <sup>th</sup> August, 2025 <b>Term of Appointment:</b> For a term of 5 (Five) consecutive years from 1 <sup>st</sup> April, 2025 to 31 <sup>st</sup> March, 2030
4	Brief profile (in case of appointment);	M/s Shailendra Roy and Associates is a peer reviewed and a well established firm of Practicing Company Secretaries/ Practicing Company Secretary Firm, registered with the Institute of Company Secretaries of India, New Delhi. M/s Shailendra Roy and Associates specializes in compliance audit and assurance services, advisory and representation services, and transactional services and he is having rich experience in corporate laws, SEBI laws etc.
5	Disclosure of relationships between directors (in case of appointment of a director).	Not Applicable